Invariant Theory and Symmetry Analysis of Magnetism and Spin-Orbitronics

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Outline

Lecture 1: A primer on spin-orbitronics

Spin-orbit coupling in crystals, Dzyaloshinskii-Moriya interaction, spin-orbit torques

Lecture 2: Representation Theory applied to crystals Group of symmetries, reducible and irreducible representations, orthogonality theorem, characters

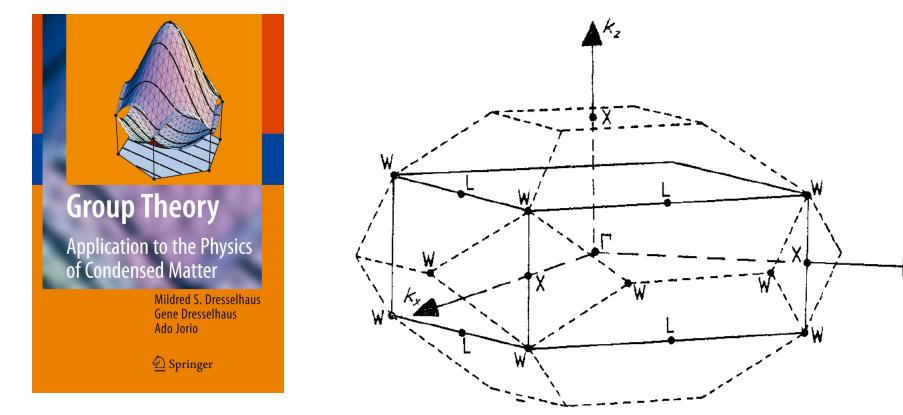
Lecture 3: Character tables of crystal point groups Salient features of the character table, invariant functions, decomposition theorem, product group

> **Lecture 4:** Application to the C_{3v} point group Hamiltonian, conductivity tensor, DMI and SOT

Lecture 5: Your turn, with the C_{4v} point group Surprise me S

Lecture II Representation Theory applied to crystals

►k,



Very quick reminder about crystal symmetries and point groups

system	Schoenflies	Hermann–Mau	guin symbol $^{(b)}$	examples	rhombohedra		$\frac{3}{2}$	3	AsI ₃	
	symbol	full	abbreviated			$\begin{vmatrix} C_{3i}, (S_6) \\ C_{3v} \end{vmatrix}$	$ar{3} \ 3m$	$\frac{3}{3m}$	$\rm FeTiO_3$	
triclinic	C_1	1	1			D_3	32	32	Se	
	$C_i, (S_2)$	Ī	Ī	Al_2SiO_5		D_{3d}	$\bar{3}2/m$	$\bar{3}m$	$\operatorname{Bi}, \operatorname{As}, \operatorname{Sb}, \operatorname{Al}_2\operatorname{O}_3$	
monoclinic	=/ (-/	m	m	KNO_2	hexagonal	$C_{3h}, (S_3)$	$\overline{6}$	$\overline{6}$		
	C_2	$\begin{vmatrix} 2 \\ 2 \end{vmatrix}$	$\begin{vmatrix} 2 \\ 2 \end{vmatrix}$			C_6	6	6		
	C_{2h}	2/m	2/m			C_{6h}	6/m	6/m		
orthorhombic		2mm	mm			D_{3h}	$\overline{6}2m$	$\overline{6}2m$		
		222	222			C_{6v}	6mm	6mm	ZnO, NiAs	
	$D_{2h}, (V_h)$	$2/m \ 2/m \ 2/m$	mmm	I, Ga		D_6	622	62	CeF_3	
tetragonal	C_4	4	4			D_{6h}	6/m 2/m 2	2/m $6/mmm$	Mg, Zn, graphite	
	S_4	4	4		1.			20	NL CLO	
	C_{4h}	$\frac{4}{m}$	$\frac{4}{m}$	$CaWO_4$	cubic 7			23	NaClO ₃	
	$D_{2d}, (V_d)$	$\bar{4}2m$	$\overline{4}2m$		1	Γ_h 2	$2/m\bar{3}$	m3	FeS_2	
	C_{4v}	4mm	4mm		7	d $\overline{4}$	3m	$\overline{4}3m$	ZnS	
	D_4	422	42		0) 4	32	43	<i>β</i> -Mn	
	D_{4h}	$4/m \ 2/m \ 2/m$	4/mmm	$\mathrm{TiO}_2,\mathrm{In},\beta\text{-}\mathrm{Sn}$			$m \bar{3} 2/m$		NaCl, diamond, Cu	

i: inversion, C_n : n-fold rotation, $\sigma_{h,v,d}$: reflection S_n : n-fold improper rotation (rotation+reflection)

A few definitions

Definition of a group A collection of elements A, B, C, \ldots form a group when the following four conditions are satisfied:

- 1. The product of any two elements of the group is itself an element of the group. For example, relations of the type AB = C are valid for all members of the group.
- 2. The associative law is valid i.e., (AB)C = A(BC).
- 3. There exists a unit element E (also called the identity element) such that the product of E with any group element leaves that element unchanged AE = EA = A.
- 4. For every element A there exists an inverse element A^{-1} such that $A^{-1}A = AA^{-1} = E$.

In general, the elements of a group will not commute, i.e., $AB \neq BA$. But if all elements of a group commute, the group is then called an *Abelian* group.

Conjugation An element B conjugate to A is by definition $B \equiv XAX^{-1}$, where X is an arbitrary element of the group.

Definition of a class

A class is the totality of elements which can be obtained from a given group element by conjugation

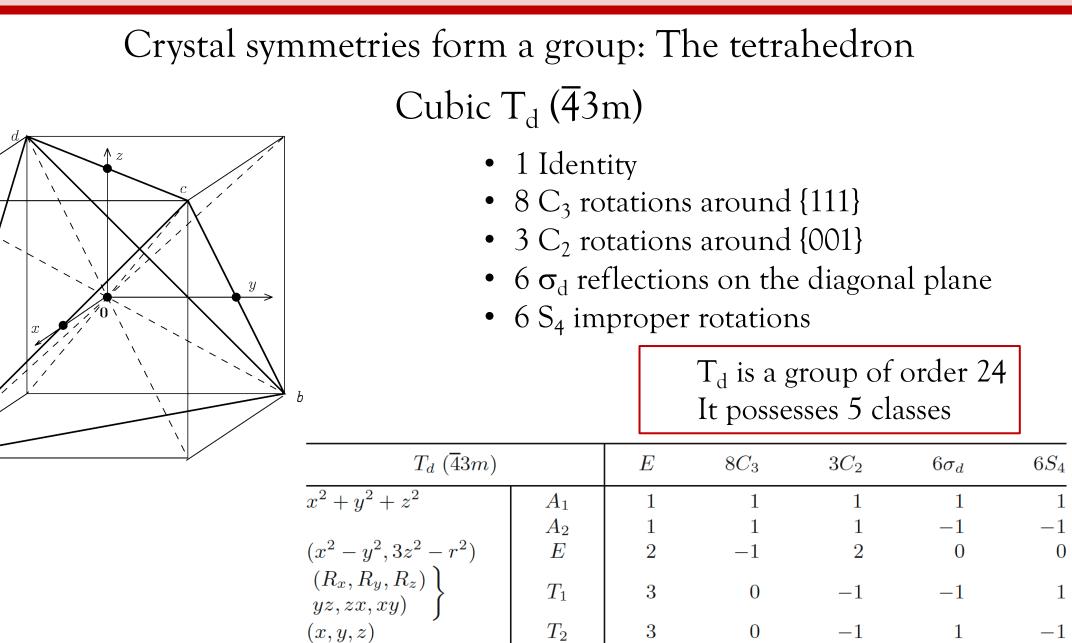
The symmetries of a crystal form a group: The equilateral triangle Rhombohedral D_3 (32)

- 1 Identity
- 2 C₃ rotations around the origin
- $3 C_2$ rotations around the three axes

D₃ is a group of order 6 It possesses 3 classes

Very important because #irrep = #classes

	D_3 (32)		E	$2C_3$	$3C_2'$
$\overline{x^2 + y^2, z^2}$	R_z, z	$\begin{array}{c} A_1 \\ A_2 \end{array}$	1 1	1 1	$1 \\ -1$
$\left. \begin{array}{c} (xz, yz) \\ (x^2 - y^2, xy) \end{array} \right\}$	$\left. \begin{array}{c} (x,y) \\ (R_x,R_y) \end{array} \right\}$	E	2	-1	0



Introducing representations

Definition 13. A representation of an abstract group is a substitution group (matrix group with square matrices) such that the substitution group is homomorphic (or isomorphic) to the abstract group. We assign a matrix D(A) to each element A of the abstract group such that D(AB) = D(A)D(B).

> In other words, any symmetry operation can be represented by a square matrix, called a representation

> > In 2d, (x,y), we naturally get the following representation

$$E = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad A = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \quad B = \begin{pmatrix} \frac{1}{2} & -\frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}$$

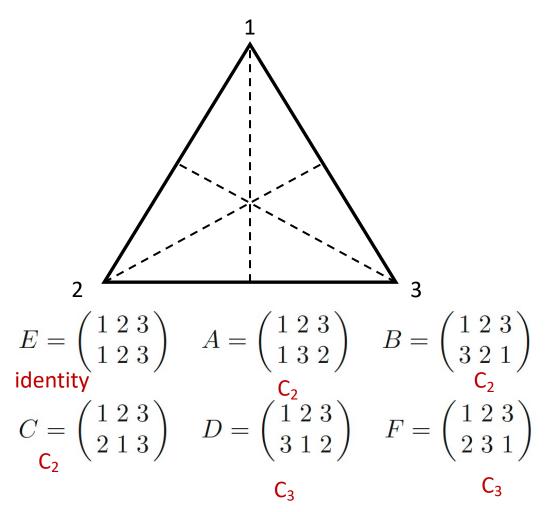
$$C = \begin{pmatrix} \frac{1}{2} & \frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix} \quad D = \begin{pmatrix} -\frac{1}{2} & \frac{\sqrt{3}}{2} \\ -\frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix} \quad F = \begin{pmatrix} -\frac{1}{2} & -\frac{\sqrt{3}}{2} \\ \frac{\sqrt{3}}{2} & -\frac{1}{2} \end{pmatrix}$$

This representation is NOT unique!

2 $E = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{pmatrix} \quad A = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{pmatrix} \quad B = \begin{pmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{pmatrix}$ identity $C_{2} \qquad C_{2} \qquad C_{2}$ $C = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{pmatrix} \quad D = \begin{pmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \\ 3 & 1 & 2 \end{pmatrix} \quad F = \begin{pmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{pmatrix}$ $C_{3} \qquad C_{3} \qquad C_{3}$

Introducing representations

We can also build a representation of dimension 1



М	Multiplication table								
	E	A	B	C	D	F			
E	E	A	B	C	D	F			
A	A	E	D	F	В	C			
B	B	F	E	D	C	A			
C	C	D	F	E	A	B			
D	D	C	A	В	F	E			
F	F	$\begin{array}{c} A\\ E\\ F\\ D\\ C\\ B \end{array}$	C	A	E	D			

$$(E,D,F) = \mathcal{E}$$
 s a self-conjugated subgroup

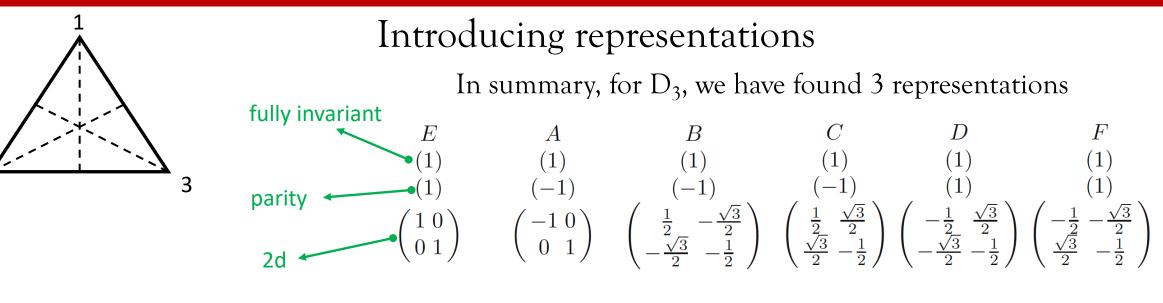
$$(A, B, C) = \mathcal{A}$$

is called a coset of this subgroup

	E	\mathcal{A}
${\cal E}$	${\mathcal E}$	\mathcal{A}
\mathcal{A}	\mathcal{A}	Е

This group (called the factor group) is isomorphic to the permutation group P(2). In 1d, *z*, the representation is

$$\begin{cases} E \\ D \\ F \end{cases} \xrightarrow{\rightarrow} (1) \qquad B \\ \mathbf{Z} \xrightarrow{\rightarrow} \mathbf{Z} \qquad C \end{cases} \xrightarrow{\rightarrow} (-1) \\ \mathbf{Z} \xrightarrow{\rightarrow} -\mathbf{Z}$$



In principle, we could also build 4d representations, for instance

This is called a *reducible* representation, which can be written in terms of the *irreducible* ones $\Gamma_{\rm R} = \Gamma_1 + \Gamma_{1'} + \Gamma_2$

Schur's lemma – for real

Lemma. A matrix which commutes with all matrices of an irreducible representation is a constant matrix, i.e., a constant times the unit matrix. Therefore, if a non-constant commuting matrix exists, the representation is reducible; if none exists, the representation is irreducible.

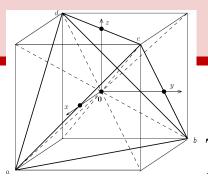
Lemma. If the matrix representations $D^{(1)}(A_1), D^{(1)}(A_2), \ldots, D^{(1)}(A_h)$ and $D^{(2)}(A_1), D^{(2)}(A_2), \ldots, D^{(2)}(A_h)$ are two irreducible representations of a given group of dimensionality ℓ_1 and ℓ_2 , respectively, then, if there is a matrix of ℓ_1 columns and ℓ_2 rows M such that

$$MD^{(1)}(A_x) = D^{(2)}(A_x)M (2.38)$$

for all A_x , then M must be the null matrix $(M = \mathcal{O})$ if $\ell_1 \neq \ell_2$. If $\ell_1 = \ell_2$, then either $M = \mathcal{O}$ or the representations $D^{(1)}(A_x)$ and $D^{(2)}(A_x)$ differ from each other by an equivalence (or similarity) transformation.

Introducing representations

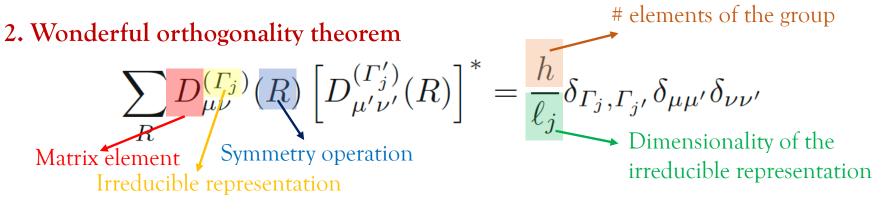
Definition 16. If by one and the same equivalence transformation, all the matrices in the representation of a group can be made to acquire the same block form, then the representation is said to be reducible; otherwise it is irreducible. Thus, an irreducible representation cannot be expressed in terms of representations of lower dimensionality.



Character of a representation

The concrete matrix representation of a point group can be very heavy to handle Fortunately, the matrices of the irreducible representations obey a number of rules

1. Unitarity Every representation with matrices having nonvanishing determinants can be brought into unitary form by an equivalence (similarity) transformation.



3. Character Since the trace (or character) of a matrix remains invariant upon equivalence transformation, **the character of each element in a class is the same**...so a class can be tagged by its character

Character of a representation

Definition 17. The character of the matrix representation $\chi^{\Gamma_j}(R)$ for a symmetry operation R in a representation $D^{(\Gamma_j)}(R)$ is the trace (or the sum over diagonal matrix elements) of the matrix of the representation:

$$\chi^{(\Gamma_j)}(R) = \operatorname{trace} D^{(\Gamma_j)}(R) = \sum_{\mu=1}^{\ell_j} D^{(\Gamma_j)}(R)_{\mu\mu}, \qquad (3.1)$$

Let's build the character table of D_3

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Let's	build	the	character	table	of D)3
Let's	build	the	character	table	of L)

class \rightarrow	\mathcal{C}_1	$3\mathcal{C}_2$	$2\mathcal{C}_3$
$\mathrm{IR}\downarrow$	$\chi(E)$	$\chi(A, B, C)$	$\chi(D,F)$
Γ_1	1	1	1
$\Gamma_{1'}$	1	-1	1
Γ_2	2	0	-1

Character of a representation

The characters also obey a number of very useful rules

1. First orthogonality theorem for characters

$$\sum_{k} N_{k} \chi^{(\Gamma_{j})}(\mathcal{C}_{k}) \left[\chi^{(\Gamma_{j'})}(\mathcal{C}_{k})\right]^{*} = h \delta_{\Gamma_{j},\Gamma_{j'}},$$

elements in a class

This equality sets the relation between the row of the χ -table

2. Second orthogonality theorem for characters

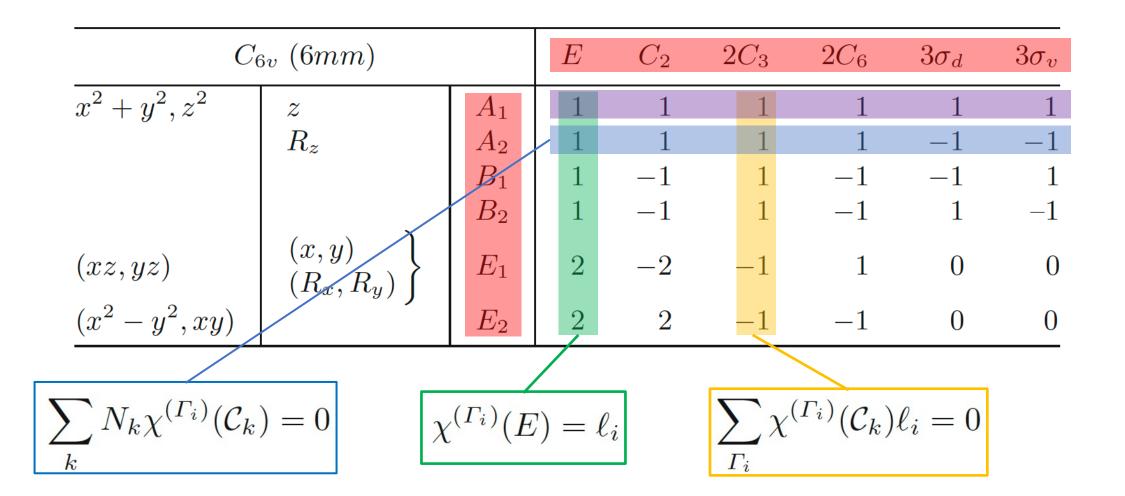
$$\sum_{\Gamma_j} \chi^{(\Gamma_j)}(\mathcal{C}_k) \left[\chi^{(\Gamma_j)}(\mathcal{C}_{k'}) \right]^* N_k = h \delta_{kk'}$$

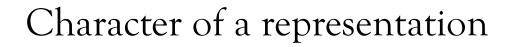
This equality sets the relation between the columns of the χ -table

Theorem. A necessary and sufficient condition that two irreducible representations be equivalent is that the characters be the same.

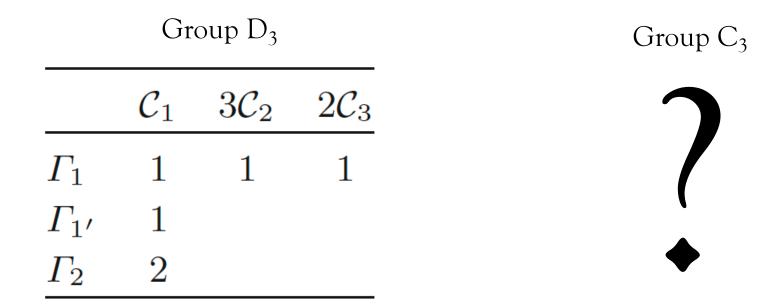
Salient features of a character table

 C_{6v}





Now let's build the character table for two cases



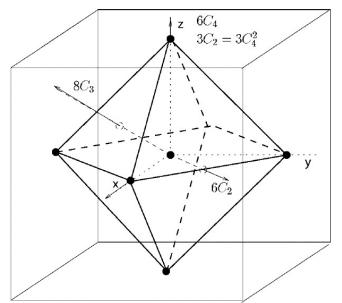
Decomposition of a reducible representation

Any reducible representation can be expressed in terms of irreducible ones Not only very useful for computational purpose but also informative from a physics standpoint

Let's consider spherical orbitals in a cubic environment (group O)

$$Y_{\ell,m}(\theta,\phi) = CP_{\ell}^{m}(\theta) e^{\mathrm{i}m\phi}$$

D



First determine the characters of their representation in O
Rotation of angle
$$\alpha$$
 around z

$$D^{(\ell)}(\alpha) = \begin{pmatrix} e^{-i\ell\alpha} & \mathcal{O} \\ & e^{-i(\ell-1)\alpha} & \mathcal{O} \\ & & \ddots & \\ \mathcal{O} & & e^{i\ell\alpha} \end{pmatrix} \xrightarrow{} \chi^{(\ell)}(\alpha) = \frac{\sin[(\ell + \frac{1}{2})\alpha]}{\sin[\alpha/2]}$$
Inversion

$$\chi^{(\ell)}(i) = \sum_{m=-\ell}^{m=\ell} (-1)^{\ell} = (-1)^{\ell} (2\ell + 1) ,$$

Decomposition of a reducible representation

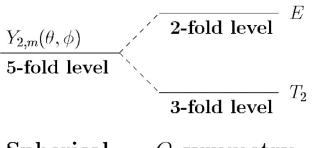
We deduce the character table for the various harmonics

	0	E	$8C_3$	$3C_2 = 3C_4^2$	$6C'_2$	$6C_4$
Γ_1	A_1	1	1	1	1	1
Γ_2	A_2	1	1	1	-1	-1
Γ_{12}	E	2	-1	2	0	0
$\Gamma_{15'}$	T_1	3	0	-1	-1	1
$\Gamma_{25'}$	T_2	3	0	-1	1	-1
$\Gamma_{\ell=0}$	A_1	1	1	1	1	1
$\Gamma_{\ell=1}$	T_1	3	0	-1	1	-1
$\Gamma_{\ell=2}$	$E+T_2$	5	-1	1	1	-1
$\Gamma_{\ell=3}$	$A_2 + T_1 + T_2$	7	1	-1	-1	-1
$\Gamma_{\ell=4}$	$A_1 + E + T_1 + T_2$	9	0	1	1	1
$\Gamma_{\ell=5}$	$E + 2T_1 + T_2$	11	-1	-1	-1	1

Decomposition formula

$$\chi(\mathcal{C}_k) = \sum_{\Gamma_i} a_i \chi^{(\Gamma_i)}(\mathcal{C}_k)$$
$$a_j = \frac{1}{h} \sum_k N_k \left[\chi^{(\Gamma_j)}(\mathcal{C}_k) \right]^* \chi(\mathcal{C}_k)$$

For instance, for l=2



Spherical symmetry

O symmetry octahedral crystal field

Invariant theory and basis functions

We have talked a lot about how symmetry operations can be represented by matrices Now, let us determine the functions that remain invariant under these operations

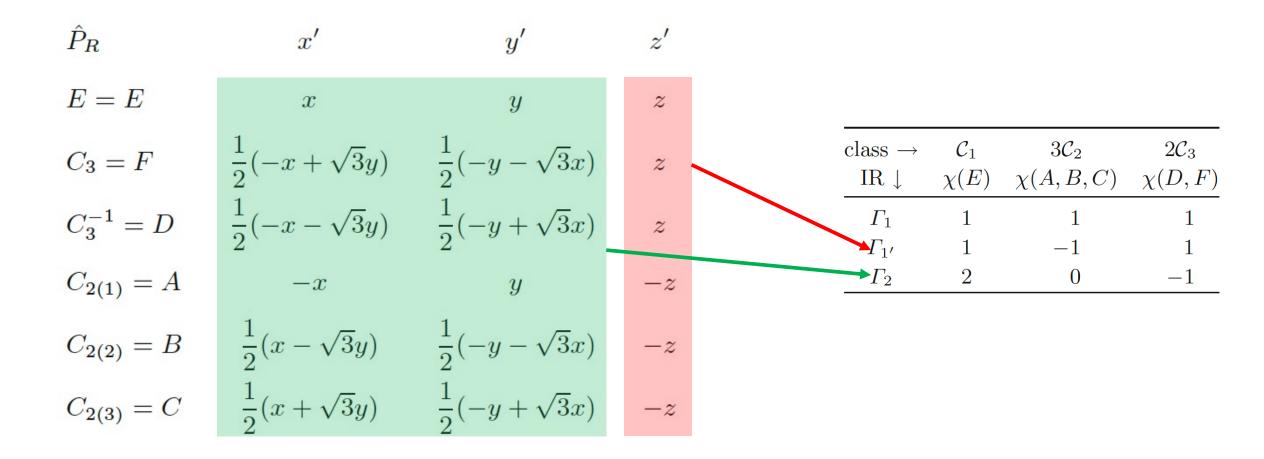
Associated with each irreducible representation, these "basis functions" can be used to generate the matrices that represent the symmetry elements of a particular irreducible representation.

Vector of a representation Γ_n $\hat{P}_R | \Gamma_n \alpha \rangle = \sum_j D^{(\Gamma_n)}(R)_{j\alpha} | \Gamma_n j \rangle$. Operator for symmetry R Matrix represention of R in Γ_n

The basis vectors $|\Gamma_n j
angle$ form an orthonormal basis

Therefore
$$D^{(\Gamma_n)}(R)_{j\alpha} = \langle \Gamma_n j | \hat{P}_R | \Gamma_n \alpha \rangle$$

Invariant theory and basis functions Let's go back to our D₃ group



Invariant theory and basis functions

Let's go back to our D₃ group

Invariant theory and basis functions Let's go back to our D₃ group

 \hat{P}_R z'^2 x'^2 $y^{2} \qquad z^{2}$ $C_{3} = F \qquad \frac{1}{4}(x^{2} + 3y^{2} - 2\sqrt{3}xy) \qquad \frac{1}{4}(y^{2} + 3x^{2} + 2\sqrt{3}xy) \qquad z^{2}$ $C_{3}^{-1} = D \qquad \frac{1}{4}(x^{2} + 3y^{2} + 2\sqrt{3}xy) \qquad \frac{1}{4}(y^{2} + 3x^{2} - 2\sqrt{3}xy) \qquad z^{2}$ $C_{2(1)} = A \qquad x^{2}$ $C_{2(2)} = B \quad \frac{1}{4}(x^2 + 3y^2 - 2\sqrt{3}xy) \qquad \frac{1}{4}(y^2 + 3x^2 + 2\sqrt{3}xy) \qquad z^2$ $C_{2(3)} = C \quad \frac{1}{4}(x^2 + 3y^2 + 2\sqrt{3}xy) \qquad \frac{1}{4}(y^2 + 3x^2 - 2\sqrt{3}xy) \qquad z^2$

$$D(xy) = \frac{1}{4} \left(-2xy - \sqrt{3}[x^2 - y^2] \right)$$
$$D(x^2 - y^2) = -\frac{1}{4} \left(2[x^2 - y^2] - 4\sqrt{3}xy \right)$$
$$D(xz) = \left(-\frac{x}{2} - \frac{\sqrt{3}}{2}y \right) z ,$$
$$D(yz) = \left(-\frac{y}{2} + \frac{\sqrt{3}}{2}x \right) z .$$

Invariant theory and basis functions

So, in summary

D_{3}	E	$2C_3$	$3C'_2$		
$\overline{x^2 + y^2, z^2}$		A_1	1	1	1
	R_z, z	A_2	1	1	-1
$\left. \begin{array}{c} (xz,yz) \\ (x^2-y^2,xy) \end{array} \right\}$	$\left. \begin{array}{c} (x,y) \\ (R_x,R_y) \end{array} \right\}$	E	2	-1	0

Projection operators

We define the projection operator acting on a basis $\hat{P}_{k\ell}^{(\Gamma_n)}|\Gamma_n\ell\rangle \equiv |\Gamma_nk\rangle$

Explicitly
$$\hat{P}_{k\ell}^{(\Gamma_n)} = \frac{\ell_n}{h} \sum_R D^{(\Gamma_n)}(R)_{k\ell}^* \hat{P}_R$$

Therefore, for a general function
$$F = \sum_{\Gamma_{n'}} \sum_{j'} f_{j'}^{(\Gamma_{n'})} |\Gamma_{n'}j'\rangle$$

The projection operation yields $\hat{P}_{kk}^{(\Gamma_n)}F = f_k^{(\Gamma_n)}|\Gamma_n k\rangle$

This procedure allows us to expression a general function in the basis of invariants

Projection operators

Back to our favorite D_3

We apply the projection procedure on ψ_a =a, ψ_b =b, ψ_c =c $\hat{P}^{(\Gamma_n)}a = \frac{\ell_n}{h} \sum_R \chi^{(\Gamma_n)}(R)^* \hat{P}_R a = f^{(\Gamma_n)} |\Gamma_n\rangle$

$$\hat{P}^{(\Gamma_1)}a = \hat{P}^{(\Gamma_1)}b = \hat{P}^{(\Gamma_1)}c = \frac{1}{3}(a+b+c)$$
$$\hat{P}^{(\Gamma_{1'})}a = \hat{P}^{(\Gamma_{1'})}b = \hat{P}^{(\Gamma_{1'})}c = 0$$

For the 2d representation, we rather start with a trial function $|\Gamma_2 \alpha\rangle = a + \omega b + \omega^2 c$, $\omega = e^{2\pi i/3}$

→ X

 $\mathbf{P}_{\mathbf{F}}$

PD

PA

PB

Which yields $|\Gamma_2 \alpha\rangle = a + \omega b + \omega^2 c$, $|\Gamma_2 \beta\rangle = a + \omega^2 b + \omega c$.