Invariant Theory and Symmetry Analysis of Magnetism and Spin-Orbitronics

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Objectives

- 1. Learn interesting physical mechanisms of major interest in condensed matter and magnetism
- 2. Learn some key concepts about the representation theory in crystals
- 3. Under how to build and read the character table of a given crystal
- 4. Deduce the general forms of key physical observables (Hamiltonian, conductivity tensor, DMI and SOT)

Outline

Lecture 1: A primer on spin-orbitronics Spin-orbit coupling in crystals, Dzyaloshinskii-Moriya interaction, spin-orbit torques

Lecture 2: Representation Theory applied to crystals Group of symmetries, reducible and irreducible representations, orthogonality theorem, characters

Lecture 3: Character tables of crystal point groups Salient features of the character table, invariant functions, decomposition theorem, product group

> **Lecture 4:** Application to the C_{3v} point group Hamiltonian, conductivity tensor, DMI and SOT

Lecture 5: Your turn, with the C_{4v} point group Surprise me S

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Lecture I

A brief introduction to selected topics in spin-orbitronics



Manchon et al., New perspectives for Rashba spin-orbit coupling, Nature Materials 14, 871 (2015) Bihlmayer et al. Rashba-like physics in condensed matter, Nature Reviews Physics 4, 642 (2022).









Introducing spin-orbit coupling





A toy model for interfacial spin-momentum locking

Consider an atomic chain with p-orbitals





The orbital moment of this state reads

$$\langle 0|\boldsymbol{L}|0\rangle = \frac{2V_{zx}V_{zz}}{(V_{\sigma} + V_{\tau}}\langle 0|\boldsymbol{L}|0\rangle = \frac{2V_{zx}V_{zz}}{|V_{zz}|^2 + |V_{zx}|^2}\boldsymbol{y}\frac{1}{k_xa}\sin 2k_xa \boldsymbol{y}$$

Symmetry breaking promotes orbital mixing, and non-vanishing orbital moment

See G. Manchon et al., Physical Review B 101, 174423 (2020)

The Rashba effect





Transition metal interfaces: (4d,5d)/Co



The Rashba effect



Topological insulator interfaces: Bi₂Se₃/3d





The Dzyaloshinskii-Moriya interaction

Spin spirals and magnetic skyrmions





Yu Nature 2010; Nagaosa & Tokura, Nat. Nano 2013

Room temperature skyrmions



Chen, APL 106 242404 (2015) Jiang, Science 349 283 (2015)





Ferriani, PRL 101, 027201 (2008)

See Manchon et al., PRB 101, 174423 (2020) Hajr et al., PRB 102, 224427 (2020)



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Looking at a magnetic interface...

TEM image taken from Gopman et al. at NIST



Looking at a magnetic interface...





Manchon & Zhang, PRB 78, 212405 (2008)

Dzyaloshinskii-Moriya Interaction

$$W_{3\mathrm{D}} = D_{3\mathrm{D}}\mathbf{m} \cdot (\mathbf{\nabla} \times \mathbf{m}).$$





Yu, Nature 465, 901 (2010) $W_{\rm 2D} = D_{\rm 2D} \mathbf{m} \cdot [(\mathbf{z} \times \mathbf{\nabla}) \times \mathbf{m}].$



Moreau-Luchaire, Nat. Nano 11, 444 (2016)

The Physics of Spin-Orbit Torques

Inverse spin galvanic or Rashba-Edelstein effect



Vas'ko-Rashba Spin-orbit coupling $H_{\rm R} \approx -\alpha \hat{\boldsymbol{\sigma}} \cdot (\mathbf{z} \times \mathbf{k})$

Bulk spin-orbit coupling $\hat{H}_{so} = (\xi/\hbar)\hat{\boldsymbol{\sigma}} \cdot (\nabla V \times \hat{\mathbf{p}})$



Ivchenko & Pikus, Pis'ma Zh. Eksp. Teor. Fiz 27, 604 (1978) Edelstein, Solid State Com. 73, 233 (1990)



The magnetoelectric effect made simple





Li et al., PRB 91, 134402 (2015)





The Ratio Dampinglike/Fieldlike is controlled by disorder

Spin-orbit coupling α

H.B.M. Saidaoui, and A. Manchon, PRL 117, 036601 (2016)H. B. M. Saidaoui, Y. Otani, and A. Manchon, PRB 92, 024417 (2015)



Inverse spin galvanic effect

Spin Hall effect



Angular dependence of the spin-orbit torque

$$T^{\parallel} = m \times [(e_{z} \times E) \times m] [A_{0}^{\varphi} + B_{2}^{\theta}(e_{z} \times m)^{2} + B_{4}^{\theta}(e_{z} \times m)^{4} + ...] + (m \times e_{z})(m \cdot E) [(B_{2}^{\theta} - A_{2}^{\varphi}) + (B_{4}^{\theta} - A_{4}^{\varphi})(e_{z} \times m)^{2} + ...]$$

$$T^{\perp} = (e_{z} \times E) \times m [A_{0}^{\theta} - B_{2}^{\varphi}(e_{z} \times m)^{2} - B_{4}^{\varphi}(e_{z} \times m)^{4} - ...] + m \times [(m \times e_{z})(m \cdot E)] [(A_{2}^{\theta} + B_{2}^{\varphi}) + (A_{4}^{\theta} + B_{4}^{\varphi})(e_{z} \times m)^{2} + ...].$$

Garello et al., Nature Nanotechnology 8, 587 (2013) Ortiz Pauyac, Applied Physics Letters 102, 252403 (2013)

Symmetry considerations



In 1885 Voigt stated: "the symmetry of the physical phenomenon is at least as high as the crystallographic symmetry," which became a fundamental postulate of crystal physics known as "Neumann's principle".



Neumann

Tensor response (Torque, damping etc.)

 $\chi = \det(R) R \chi R^{-1}$

- Symmetry operator

| Bravais lattice | Point Group | Centrosymmetric | Non-centrosymmetric | |
|-----------------|---|----------------------|-----------------------------------|--------------------|
| | | | Piezoelectric group | Pyroelectric group |
| Triclinic | $1, \bar{1}$ | Ī | 1 | 1 |
| Monoclinic | 2, m, 2/m | 2/m | 2, m | 2, m |
| Orthorhombic | 222, mm2, mmm | mmm | 222, mm2 | mm2 |
| Tetragonal | $4,\bar{4},4/m,422,4mm,\bar{4}2m,4/mmm$ | 4/m, 4/m mm | $4, \bar{4}, 422, 4mm, \bar{4}2m$ | 4,4mm |
| Trigonal | $3, \bar{3}, 32, 3m, \bar{3}m$ | $\bar{3}, \bar{3}m$ | 3, 32, 3m | 3, 3m |
| Hexagonal | $6, \bar{6}, 6/m, 622, 6mm, \bar{6}m2, 6/m mm$ | 6/m,6/mmm | $6, \bar{6}, 622, 6mm, \bar{6}m2$ | 6,6mm |
| Cubic | 23, $m\bar{3}$, 432, $4\bar{3}m$, $m\bar{3}m$ | $m3, m\overline{3}m$ | $23, 4\bar{3}m$ | - |

Ciccarelli et al., Nature Physics 12, 855 (2016); Zelezny et al., Phys. Rev. B 95, 014403 (2017)



Ciccarelli et al., Nature Physics 12, 855 (2016) See also D. MacNeill et al., Nature Physics 13, 300 (2017)

Bulk MnNiSb: Rashba + Dresselhaus 0 0 X11 Ni -42m 0 0 $-x_{11}$ 0 0 0 Rashba Dresselhaus 25 µT J₂||[[110] JI[110] Ciccarelli et al., Nature Physics 12, 855 (2016)



| Crystal system | Point group | $\chi^{(0)}$ | $\chi^{(1)}$ |
|----------------|-------------|--|---|
| triclinic | 1 | $\begin{pmatrix} x_{11} & x_{12} & x_{13} \\ x_{21} & x_{22} & x_{23} \\ x_{31} & x_{32} & x_{33} \end{pmatrix}$ | $\begin{pmatrix} \hat{n}_x x_{111} + \hat{n}_y x_{112} + \hat{n}_z x_{113} & \hat{n}_x x_{121} + \hat{n}_y x_{122} + \hat{n}_z x_{123} & \hat{n}_x x_{131} + \hat{n}_y x_{132} + \hat{n}_z x_{133} \\ \hat{n}_x x_{211} + \hat{n}_y x_{212} + \hat{n}_z x_{213} & \hat{n}_x x_{221} + \hat{n}_y x_{222} + \hat{n}_z x_{223} & \hat{n}_x x_{231} + \hat{n}_y x_{232} + \hat{n}_z x_{233} \\ \hat{n}_x x_{311} + \hat{n}_y x_{312} + \hat{n}_z x_{313} & \hat{n}_x x_{321} + \hat{n}_y x_{322} + \hat{n}_z x_{323} & \hat{n}_x x_{331} + \hat{n}_y x_{332} + \hat{n}_z x_{333} \end{pmatrix}$ |
| monoclinic | 2 | $\begin{pmatrix} x_{11} & 0 & x_{13} \\ 0 & x_{22} & 0 \\ x_{31} & 0 & x_{33} \end{pmatrix}$ | $\begin{pmatrix} \hat{n}_y x_1 & \hat{n}_x x_{13} + \hat{n}_z x_{12} & \hat{n}_y x_3 \\ \hat{n}_x x_5 + \hat{n}_z x_6 & \hat{n}_y x_{11} & \hat{n}_x x_4 + \hat{n}_z x_7 \\ \hat{n}_y x_{10} & \hat{n}_x x_8 + \hat{n}_z x_9 & \hat{n}_y x_2 \end{pmatrix}$ |
| | m | $\begin{pmatrix} 0 & x_{12} & 0 \\ x_{21} & 0 & x_{23} \\ 0 & x_{32} & 0 \end{pmatrix}$ | $\begin{pmatrix} \hat{n}_x x_{12} + \hat{n}_z x_9 & \hat{n}_y x_{14} & \hat{n}_x x_{13} + \hat{n}_z x_8 \\ \hat{n}_y x_3 & \hat{n}_x x_{11} + \hat{n}_z x_{10} & \hat{n}_y x_4 \\ \hat{n}_x x_7 + \hat{n}_z x_6 & \hat{n}_y x_5 & \hat{n}_x x_1 + \hat{n}_z x_2 \end{pmatrix}$ |
| orthorhombic | 222 | $\begin{pmatrix} x_{11} & 0 & 0 \\ 0 & x_{22} & 0 \\ 0 & 0 & x_{33} \end{pmatrix}$ | $\begin{pmatrix} 0 & \hat{n}_x x_5 & \hat{n}_y x_4 \\ \hat{n}_x x_1 & 0 & \hat{n}_x x_6 \\ \hat{n}_y x_3 & \hat{n}_x x_2 & 0 \end{pmatrix}$ |
| | mm2 | $\begin{pmatrix} 0 & x_{12} & 0 \\ x_{21} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ | $\begin{pmatrix} \hat{n}_{z}x_{4} & 0 & \hat{n}_{x}x_{6} \\ 0 & \hat{n}_{z}x_{5} & \hat{n}_{y}x_{7} \\ \hat{n}_{x}x_{3} & \hat{n}_{y}x_{2} & \hat{n}_{z}x_{1} \end{pmatrix}$ |
| tetragonal | 4 | $\begin{pmatrix} x_{11} & -x_{21} & 0 \\ x_{21} & x_{11} & 0 \\ 0 & 0 & x_{33} \end{pmatrix}$ | $\begin{pmatrix} \hat{n}_z x_6 & -\hat{n}_z x_2 & \hat{n}_x x_5 - \hat{n}_y x_7 \\ \hat{n}_z x_2 & \hat{n}_z x_6 & \hat{n}_x x_7 + \hat{n}_y x_5 \\ \hat{n}_x x_4 - \hat{n}_y x_3 & \hat{n}_x x_3 + \hat{n}_y x_4 & \hat{n}_z x_1 \end{pmatrix}$ |
| | -4 | $\begin{pmatrix} x_{11} & x_{21} & 0 \\ x_{21} & -x_{11} & 0 \\ 0 & 0 & 0 \end{pmatrix}$ | $\begin{pmatrix} \hat{n}_z x_5 & \hat{n}_z x_1 & \hat{n}_x x_4 + \hat{n}_y x_6 \\ \hat{n}_z x_1 & -\hat{n}_z x_5 & \hat{n}_x x_6 - \hat{n}_y x_4 \\ \hat{n}_x x_3 + \hat{n}_y x_2 & \hat{n}_x x_2 - \hat{n}_y x_3 & 0 \end{pmatrix}$ |
| | 422 | $\begin{pmatrix} x_{11} & 0 & 0 \\ 0 & x_{11} & 0 \\ 0 & 0 & x_{33} \end{pmatrix}$ | $\begin{pmatrix} 0 & -\hat{n}_x x_3 & -\hat{n}_y x_2 \\ \hat{n}_x x_3 & 0 & \hat{n}_x x_2 \\ -\hat{n}_y x_1 & \hat{n}_x x_1 & 0 \end{pmatrix}$ |
| | 4mm | $\begin{pmatrix} 0 & -x_{21} & 0 \\ x_{21} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ | $\begin{pmatrix} \hat{n}_{z}x_{4} & 0 & \hat{n}_{x}x_{1} \\ 0 & \hat{n}_{z}x_{4} & \hat{n}_{y}x_{1} \\ \hat{n}_{x}x_{3} & \hat{n}_{y}x_{3} & \hat{n}_{z}x_{2} \end{pmatrix}$ |
| | -42m | $\begin{pmatrix} x_{11} & 0 & 0 \\ 0 & -x_{11} & 0 \\ 0 & 0 & 0 \end{pmatrix}$ | $\begin{pmatrix} 0 & \hat{n}_x x_3 & \hat{n}_y x_2 \\ \hat{n}_x x_3 & 0 & \hat{n}_x x_2 \\ \hat{n}_y x_1 & \hat{n}_x x_1 & 0 \end{pmatrix}$ |
| | -4m2 | $\begin{pmatrix} 0 & x_{21} & 0 \\ x_{21} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ | $egin{pmatrix} \hat{n}_z x_3 & 0 & \hat{n}_x x_1 \ 0 & -\hat{n}_z x_3 & -\hat{n}_y x_1 \ \hat{n}_x x_2 & -\hat{n}_y x_2 & 0 \end{pmatrix}$ |

Zelezny et al., Phys. Rev. B 95, 014403 (2017)

Eve more intriguing: field-free switching with 3-fold symmetry





Collaboration with Jingsheng Chen @ NUS

Liu et al. Nature Nanotechnology 16, 277 (2021)

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The Physics of Dzyaloshinskii-Moriya interaction

Key ideas behind DMI

Assume a general magnetic energy $W = \sum_{ij} S_i \cdot \underline{A}_{ij} \cdot S_j$ $W = \sum_{ij} J_{ij} S_i \cdot S_j + \sum_{i,j} D_{ij} \cdot (S_i \times S_j) + \sum_i S_i \cdot \underline{K}_i \cdot S_i$ Symmetric exchange Antiymmetric exchange Magnetocrystalline anisotropy + dipolar interaction

Micromagnetic picture
$$W = \sum_{ij} J_{ij} \partial_i \boldsymbol{m} \cdot \partial_j \boldsymbol{m} + D_{ijk} m_i \partial_j m_k + K_i m_i^2 + \cdots$$

$$\sum_{ijk} D_{ijk} m_i \partial_j m_k = \sum_{ijk} D_{ijk}^A (m_i \partial_j m_k - m_k \partial_j m_i) + D_{ijk}^S (m_i \partial_j m_k + m_k \partial_j m_i)$$
Lifshitz invariant





 $W \propto \operatorname{Tr}[(\widehat{\boldsymbol{\sigma}} \cdot \boldsymbol{S}_{A})G_{A \to B}(\widehat{\boldsymbol{\sigma}} \cdot \boldsymbol{S}_{B})G_{B \to A}]$ $G_{A \to B} \approx G_{0} + G_{0}H_{so}G_{0}$ $W_{0} \propto \operatorname{Tr}[(\widehat{\boldsymbol{\sigma}} \cdot \boldsymbol{S}_{A})G_{0}(\widehat{\boldsymbol{\sigma}} \cdot \boldsymbol{S}_{B})G_{0}] \equiv \boldsymbol{S}_{A} \cdot \boldsymbol{S}_{B}$ $W_{1} \propto \operatorname{Tr}[(\widehat{\boldsymbol{\sigma}} \cdot \boldsymbol{S}_{A})(\widehat{\boldsymbol{\sigma}} \cdot \boldsymbol{B}_{eff})(\widehat{\boldsymbol{\sigma}} \cdot \boldsymbol{S}_{B})] \equiv \boldsymbol{B}_{eff} \cdot (\boldsymbol{S}_{A} \times \boldsymbol{S}_{B})$

Various mechanims have been proposed:

Superexchange in weak ferromagnets (Moriya 1960), RKKY in dilute alloys (Fert 1990), « Rashba » effect in metals (Kim 2013) etc.

Principles and Applications of Symmetry in Magnetism (PASM), Summer School

Fort Collins, Colorado

Moriya's rules

1. When a center of inversion is located at C,

 $\mathbf{D} = 0.$

2. When a mirror plane perpendicular to AB passes through C,

D || mirror plane or **D** \perp *AB*.

3. When there is a mirror plane including A and B,

D ⊥ mirror plane.
4. When a two-fold rotation axis perpendicular to AB passes through C,

 $\mathbf{D} \perp$ two-fold axis.

5. When there is an *n*-fold axis $(n \ge 2)$ along AB,

 $\mathbf{D} \parallel AB.$

Moriya Physical Review 101, 91 (1960)



Computing DMI: DFT+ Force Theorem

Build a spin spiral in large supercells by employing the Force Theorem (energy penalty cost) and compute the different in energy between two opposite chiralities



Advantages:

No assumption on the spin-orbit coupling strength Fast and relatively easy to compute

Limitations:

Impractical to model long wavelength systems (walls and skyrmions)

Rather inadapted to metals (long-range interactions) The penalty cost needed to impose the spin spiral might overcome the DMI energy and deteriore the accuracy

Yang et al., PRL 115, 267210 (2015)

Computing DMI: Generalized Bloch theorem

Build a spin spiral in momentum space and compute the total energy up to the first order in spin-orbit coupling as a function of the spiral wave length

$$\Psi_{\vec{k}}(\vec{r}) = e^{i\vec{k}\cdot\vec{R}_n} \begin{pmatrix} e^{-i\frac{\vec{q}\cdot\vec{r}}{2}}u_{\downarrow}(\vec{r}) \\ e^{-i\frac{\vec{q}\cdot\vec{r}}{2}}u_{\downarrow}(\vec{r}) \end{pmatrix}$$

Only valid in the absence of spin-orbit coupling see Heide Physica B 404, 2678 (2009)



Advantages:

Full range of wave length is available The q=0 slode provides DMI Beyond nearest-neighbor approximation

Limitations:

Limited to first order perturbation in spinorbit coupling (intermediate Z) Pretty heavy calculation

Computing DMI: Linear response theory

Compute the total energy to the first order in magnetization gradient

$$D_{ij} = \hbar \operatorname{Re} \int \frac{d\varepsilon}{2\pi} (\varepsilon - \mu) f(\varepsilon) \times \operatorname{Tr} \left[\mathcal{T}_i \left(\partial_{\varepsilon} \hat{G}_0^R \hat{v}_j \hat{G}_0^R - \hat{G}_0^R \hat{v}_j \partial_{\varepsilon} \hat{G}_0^R \right) \right]$$



Advantages:

Green's function formula, well suited to multiband systems Valid for any spin-orbit coupling strength Doesn't require a dedicated DFT code Beyond nearest-neighbor interaction

Limitations:

First order gradient only, beyond that it becomes very cumbersome

Freimuth et al. J. Phys. Cond. Matter 26, 104202 (2014) Hajr Physical Review B 102, 224427 (2020)

 D_{yx} (meV Å/u.c.)

11.3

15.0

20.7

6.8

Magnetic skyrmions in perpendicular magnets

Spin spirals and skyrmion crystal in $Fe_{0.5}Co_{0.5}Si$

 $W_{DMI} = D\boldsymbol{m} \cdot (\boldsymbol{\nabla} \times \boldsymbol{m})$



Metastable skyrmions in multilayers

 $W_{DMI} = D\boldsymbol{m} \cdot [(\boldsymbol{z} \times \boldsymbol{\nabla}) \times \boldsymbol{m}]$



Chen et al., Applied Physics Letters 106, 242404 (2015)



Jiang et al., Science 349, 283 (2015) Moreau-Luchaire et al., Nature Nano. 11, 444 (2016) Boulle et al., Nature Nano. 11, 449 (2016) Woo et al., Nature Materials 15, 501 (2016) Pollard Nat. Comm. 8, 14761 (2017)

Magnetic merons and bimerons in easy-plane magnets

Meron lattices in Co₈Zn₉Mn₃



Yu et al., Nature 564, 95 (2018)

Bimerons in frustrated planar magnets

$$W_M = D(m_z \partial_x m_x - m_x \partial_x m_z + m_x \partial_y m_y - m_y \partial_y m_x)$$



Gobel et al., Physical Review B 99, 060407(R) (2019)

A major inconvenience of microscopic theory is that it does not provide a general form of the observable as a function of the system's variable (electric field, magnetization, gradients etc.)

The plan

Express the physical observable in terms of combinations of E, m that are allowed by the crystal structure

- 1. Choose a point group (extension to magnetic and spin group not covered)
- 2. Analyze the character table and determine its invariant functions

| 0 | E | 8C ₃ | 6C'2 | 6C ₄ | $3C_2 = (C_4)^2$ | linear functions, rotations | quadratic functions | cubic functions |
|----------------|----|-----------------|------|-----------------|------------------|---|--|---|
| A_1 | +1 | +1 | +1 | +1 | +1 | - | x ² +y ² +z ² | - |
| A ₂ | +1 | +1 | -1 | -1 | +1 | - | - | xyz |
| E | +2 | -1 | 0 | 0 | +2 | - | $(x^2-y^2, 2z^2-x^2-y^2)$ | - |
| T ₁ | +3 | 0 | -1 | +1 | -1 | $(\mathbf{x},\mathbf{y},\mathbf{z})(\mathbf{R}_{\mathbf{x}},\mathbf{R}_{\mathbf{y}},\mathbf{R}_{\mathbf{z}})$ | - | $\boxed{(x^3, y^3, z^3) \left[x(z^2+y^2), y(z^2+x^2), z(x^2+y^2)\right]}$ |
| T ₂ | +3 | 0 | +1 | -1 | -1 | - | (xy, xz, yz) | $[x(z^2-y^2), y(z^2-x^2), z(x^2-y^2)]$ |

3. Determine the observable to the desired order in "small" variables

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